

PTO/SB/17 (10-08)

Approved for use through 08/30/2010, OMB 0651-0032

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). <b>FEE TRANSMITTAL</b> <b>For FY 2009</b>		<b>Complete If Known</b> Application Number: 10/764,632 Filing Date: JANUARY 26, 2004 First Named Inventor: RAYMOND A. JOAO Examiner Name: V. LUBIN Art Unit: 3626 Attorney Docket No.: RJ213	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27			
<b>TOTAL AMOUNT OF PAYMENT</b> (\$) 827.00			

**METHOD OF PAYMENT** (check all that apply)

☐ Check ☒ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_

☐ Deposit Account Deposit Account Number: \_\_\_\_\_ Deposit Account Name: \_\_\_\_\_

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee  
☐ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☐ Credit any overpayments

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**FEE CALCULATION**

**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	330	165	540	270	220	110	
Design	220	110	100	50	140	70	
Plant	220	110	330	165	170	85	
Reissue	330	165	540	270	650	325	
Provisional	220	110	0	0	0	0	

**2. EXCESS CLAIM FEES**

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	52	26
Each independent claim over 3 (including Reissues)	220	110
Multiple dependent claims	390	195

**Total Claims** 44 - 20 or HP = 12 x \$26.00 = \$312.00  
 HP = highest number of total claims paid for, if greater than 20.  
**Indep. Claims** 5 - 3 or HP = 1 x \$110.00 = \$110.00  
 HP = highest number of independent claims paid for, if greater than 3.

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$270 (\$135 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fees Paid (\$)
100	0	0	0	0

**4. OTHER FEE(S)**

Non-English Specification. \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): RCE FILING FEE \$405.00

<b>SUBMITTED BY</b>		Registration No. 35,907	Telephone 914-969-2992
Signature		(Attorney/Agent)	
Name (Print/Type)	RAYMOND A. JOAO	Date	7/11/10

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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# **FEE TRANSMITTAL** **For FY 2009**

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) **827.00**

## **Complete If Known**

Application Number **10/764,632**  
Filing Date **JANUARY 26, 2004**  
First Named Inventor **RAYMOND A. JOAO**  
Examiner Name **V. LUBIN**  
Art Unit **3626**  
Attorney Docket No. **RJ213**

## **METHOD OF PAYMENT (check all that apply)**

- ☐ Check ☒ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_
- ☐ Deposit Account Deposit Account Number: \_\_\_\_\_ Deposit Account Name: \_\_\_\_\_
- For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)
- ☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee
- ☐ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☐ Credit any overpayments

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<b>Indep. Claims</b>	<b>Extra Claims</b>	<b>Fee (\$)</b>
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Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fees Paid (\$)
<u>144</u> - 100 = <u>44</u> / 50 = <u>1</u> (round up to a whole number) x <u>\$135</u> = <u>\$135.00</u>				

### **4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount) **Fees Paid (\$)**

Other (e.g., late filing surcharge): RCE FILING FEE **\$405.00**

## **SUBMITTED BY**

Signature Raymond A. Joao Registration No. 35,907 Telephone 914-969-2992  
Name (Print/Type) RAYMOND A. JOAO Date 7/11/10


This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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I hereby certify that this correspondence is being transmitted via facsimile transmission to the United States Patent and Trademark Office at 571-273-8300 on July 11, 2010.

  
Raymond A. Joao

RJ213

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT : RAYMOND A. JOAO

SERIAL NO.: 10/764,632

FILED : JANUARY 26, 2004

FOR : APPARATUS AND METHOD FOR PROVIDING INSURANCE  
PRODUCTS, SERVICES AND/OR COVERAGE FOR LEASED  
ENTITIES

EXAMINER : V. LUBIN

GROUP : 3626

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL LETTER

Sir:

Please find transmitted herewith the following for  
filing in the above-identified application:

1. Request For Continued Examination (RCE)

Transmittal Form;

07/13/2010 HMARZ11 00000002 10764632


02 FC:2201 110.00 OP  
03 FC:2202 312.00 OP

2. Credit Card Payment Form for \$827.00, for payment of the required RCE filing fee (\$405.00), for payment for one (1) additional independent claim (\$110.00), and for payment for twelve (12) additional claims (\$312.00);

3. Fee Transmittal Sheet (in duplicate), for the payment of the required RCE filing fee (\$405.00), for the payment for one (1) additional independent claim (\$110.00), and for the payment for twelve (12) additional claims (\$312.00); and

4. Amendment.

Respectfully Submitted,

  
Raymond A. Joao  
Reg. No. 35,907

July 11, 2010

Raymond A. Joao, Esq.  
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Yonkers, New York 10703  
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YONKERS, NEW YORK 10703  
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## FAX COVER SHEET

To: The United States Patent and Trademark Office

From: Raymond A. Joao, Esq.

Date: July 11, 2010

Fax No.: 571-273-8300

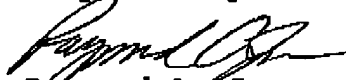
No. Pages: 37 (including cover)

Re: REQUEST FOR CONTINUED EXAMINATION (RCE) - U.S. Patent  
Application Serial No. 10/764,632

To Whom It May Concern:

Please find transmitted herewith a REQUEST FOR CONTINUED EXAMINATION (RCE) for filing in the above-identified application.

Respectfully Submitted,



Raymond A. Joao  
Reg. No. 35,907

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II. CONCLUSION:

In view of the above, the application is deemed to be in condition for allowance and action to that end is respectfully requested. Entry of this Amendment and allowance of pending Claims 1-44 is respectfully requested.

A Credit Card Payment Form for \$827.00, for payment of the required RCE filing fee (\$405.00), for payment for one (1) additional independent claim (\$110.00), and for payment for twelve (12) additional claims (\$312.00), is submitted herewith. A Fee Transmittal Sheet (in duplicate), for the payment of the required RCE filing fee (\$405.00), for the payment for one (1) additional independent claim (\$110.00), and for the payment for twelve (12) additional claims (\$312.00), is submitted herewith.

Respectfully Submitted,



Raymond A. Joao  
Reg. No. 35,907

July 11, 2010

Raymond A. Joao, Esq.  
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Yonkers, New York 10703  
(914) 969-2992